

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/873,899	06/04/2001	Nnochiri N. Ekwuribe	9233-54

CONFIRMATION NO. 5139

FORMALITIES LETTER



\*OC00000006389911\*

 20792  
 MYERS BIGEL SIBLEY & SAJOVEC  
 PO BOX 37428  
 RALEIGH, NC 27627

Date Mailed: 08/07/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION **RECEIVED**

FILED UNDER 37 CFR 1.53(b)

OCT 12 2001

Filing Date Granted

OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice **MUST** be returned with the reply.

R.B.  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/15/2001 SLUANG1 00000012 09873899

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130.00 CP



Attorney Docket No. 9233-54

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Ekwuribe et al.

Serial No.: 09/873,899

Filed: June 4, 2001

For: *MIXTURES OF INSULIN DRUG-OLIGOMER CONJUGATES COMPRISING  
POLYALKYLENE GLYCOL, USES THEREOF,  
AND METHODS OF MAKING***RECEIVED**

Date: October 8, 2001

**OCT 12 2001**Commissioner for Patents  
Washington, D.C. 20231**OFFICE OF PETITIONS****NOTIFICATION OF CHANGE IN SMALL ENTITY STATUS  
UNDER 37 C.F.R. § 1.28(c)**

Sir:

Upon further examination of the above-identified application, Applicants realize that the filing fee was erroneously paid as a small entity. Applicants regret this error, which was made without any deceptive intent. Accordingly, the claim for small entity based on the payment of filing fees for a small entity is hereby withdrawn.

Pursuant to 37 C.F.R. § 1.28(c)(2), the following information is set forth hereafter: 1) each fee that was erroneously paid as a small entity, including the fee paid and the date that the fee was paid; 2) the deficiency owed for each fee that was erroneously paid; and 3) the total deficiency payment owed.

Fee Paid	Date Paid	Amount Owed as Large Entity	Amount Paid	Deficiency
Statutory Filing Fee	June 4, 2001	\$740	\$355	\$385
Fee for Additional Claims	June 4, 2001	\$846 (47 x \$18)	\$423 (47 x \$9)	\$423
Fee for Additional Independent Claims	June 4, 2001	\$504 (6 x 84)	\$240 (6 x 40)	\$264
			<b>TOTAL OWED</b>	<b>\$1,072.00</b>

10/12/2001 SLURNG1 00000012 09873899

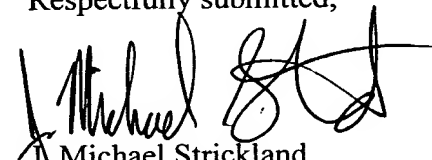
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504.00 OP  
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130.00 OP

In re: Ekwuribe et al.  
Serial No.: 09/873,899  
Filed: June 4, 2001  
Page 2

Applicants regret this inadvertent error, which was made without any deceptive intent. A check in the amount of \$1,072 (the deficiency between the amount paid and the amount due) is enclosed.

The Commissioner is authorized to charge any additional deficiency, or credit any overpayment, to our deposit account number 50-0220.

Respectfully submitted,

  
J. Michael Strickland  
Registration No. 47,115

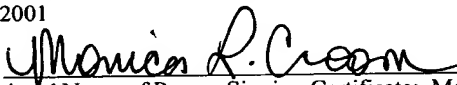


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PATENT TRADEMARK OFFICE

**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on October 8, 2001

Signature:   
Typed or Printed Name of Person Signing Certificate: Monica L. Croom  
Date of Signature: October 8, 2001

Attorney Docket No. 9233-54

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Ekwuribe et al.  
Serial No.: 09/873,899  
Filed: June 4, 2001

Confirmation Number: 5139

For: MIXTURES OF INSULIN DRUG-OLIGOMER CONJUGATES COMPRISING  
POLYALKYLENE GLYCOL, USES THEREOF, AND METHODS OF MAKING  
SAME

Date: October 8, 2001

BOX MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

RECEIVED  
OCT 12 2001  
OFFICE OF PETITIONS

**SUBMITTAL OF DECLARATION  
UNDER 37 C.F.R. § 1.63**

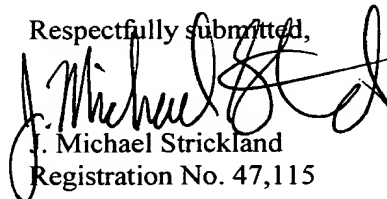
Sir:

In response to the Notice to File Missing Parts of Application-Filing Date Granted (PTO-1533), mailed August 7, 2001 enclosed is a Declaration and Power of Attorney for the above-identified application, which has been executed by the named inventors.

Applicants note that a Notification of Change in Small Entity Status form is filed herewith. Accordingly, a check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e).

If any extension of time for the accompanying response or submission is required, Applicant requests that this be considered a petition therefor. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to our Deposit Account No. 50-0220.

Respectfully submitted,

  
J. Michael Strickland  
Registration No. 47,115

USPTO Customer No.:

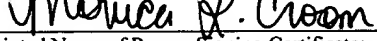


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PATENT TRADEMARK OFFICE

**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on October 8, 2001

Signature:   
Typed or Printed Name of Person Signing Certificate: Monica L. Croom  
Date of Signature: October 8, 2001